

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	2781435
<b>Application Number:</b>	09927628
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5363
<b>Title of Invention:</b>	Systems and methods for developing and administering investment trusts
<b>First Named Inventor/Applicant Name:</b>	Andrew H. Pritchard
<b>Customer Number:</b>	64558
<b>Filer:</b>	Glen Farbanish/LAURA ALTO
<b>Filer Authorized By:</b>	Glen Farbanish
<b>Attorney Docket Number:</b>	CF/ 024
<b>Receipt Date:</b>	29-JAN-2008
<b>Filing Date:</b>	10-AUG-2001
<b>Time Stamp:</b>	13:47:00
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
------------------------	----

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement Letter	00-1024_080129_IDS.pdf	25331 aa4b0facc241b35501ba93c70792d763 959ebae1	no	2

**Warnings:**

**Information:**

2	Information Disclosure Statement (IDS) Filed	00-1024_080129_1449.pdf	25411 b7e444d40b9db4c97ea72b44c04910162395	no	1
<b>Warnings:</b>					
<b>Information:</b>					
This is not an USPTO supplied IDS fillable form					
3	Foreign Reference	NPL_WO0133316A2.pdf	1416768 63a6b3d3f0c5d394b2919128289103475266c5	no	35
<b>Warnings:</b>					
<b>Information:</b>					
4	NPL Documents	NPL_Xreferplus_Securitization.pdf	50609 46b509220e0d9fac49f1550a2d1cc0f734ec3302	no	1
<b>Warnings:</b>					
<b>Information:</b>					
5	NPL Documents	NPL_Xreferplus_Options.pdf	55355 6b4266442056521940977523276a5ea825a3b1497	no	1
<b>Warnings:</b>					
<b>Information:</b>					
6	NPL Documents	NPL_Dictionary_of_Finance_and_Investment_Terms.pdf	351002 bd6c4002b4d20a5114105c3a3e461149485ca2978	no	4
<b>Warnings:</b>					
<b>Information:</b>					
7	NPL Documents	NPL_OA_10-042371_11-9-2006.pdf	737664 5107f4b685c19862511797b3d3b15c4e6b3302b	no	22
<b>Warnings:</b>					
<b>Information:</b>					
8	NPL Documents	NPL_OA_10-042371_4-4-2007.pdf	373741 819b4b2f5547d4b920ea8ab74c5267d29bba695	no	11
<b>Warnings:</b>					
<b>Information:</b>					
9	NPL Documents	NPL_OA_10-042371_7-5-2007.pdf	120693 94a5441c99f5aef794a793131a5ea665a55aee65	no	3
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>				3156574	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.